

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven B. Dawes et al.

Examiner: *#3*

Serial No:

Group Art Unit: *7802*

Filed:

For: METHODS AND APPARATUS FOR
PULSED DOPING OR DRYING A SOOT
PREFORMINFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98Asst. Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

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Date: 10/26/01

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| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks, Washington, D.C. 20231 | |
| on | <u>10/26/01</u> |
| Date of Deposit | |
| _____ Randall S. Wayland Name of applicant, assignee, or Registered Representative | |
| _____ <i>Randall S. Wayland</i> Signature | |
| _____ <u>10/26/01</u> Date of Signature | |

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| FORM PTO-1449 (MODIFIED) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT | ATTORNEY DOCKET NO. | SERIAL NO. |
| | SP01-277 | |
| | APPLICANT Dawes et al. | |
| | FILING DATE | GROUP: |

1046 U.S. PTO
 10/053365
 10/26/01

| REFERENCE DESIGNATION | | | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|----|-----------------|----------|-----------------------|-------|-----------|------------------------|
| Examiner Initial | | Document Number | Date | Name | Class | Sub-Class | Filing Date if Approp. |
| | AA | 4,586,943 | 5/6/86 | Kyoto et al. | 65 | 3.12 | 10/16/84 |
| | AB | 4,620,861 | 11/4/86 | Berkey | 65 | 3.12 | 11/4/85 |
| | AC | 4,629,485 | 12/16/86 | Berkey | 65 | 3.11 | 9/26/83 |
| | AD | 5,158,587 | 10/27/92 | Kyoto et al. | 65 | 3.12 | 7/8/91 |
| | AE | 5,306,322 | 4/26/94 | Ishikawa et al. | 65 | 3.12 | 7/24/92 |
| | AF | 6,116,055 | 9/12/00 | Ishikawa et al. | 65 | 399 | 11/13/98 |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|----|-----------------|------|---------|-------|-----------|--------------------|
| | | Document Number | Date | Country | Class | Sub-Class | Translation Yes No |
| | AL | | | | | | |
| | AM | | | | | | |
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| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | | |
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EXAMINER: _____ DATE CONSIDERED: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.